

Preliminary Microsatellite DNA Analysis to Investigate Stock Structure in the Antarctic Minke Whale (*Balaenoptera acutorostrata*)

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ABSTRACT

A preliminary analysis of stock structure in the Antarctic minke whale was made using five polymorphic microsatellites in a sample of 914 individuals taken by JARPA surveys in IWC management Areas III E, IV, V and VI W. Despite these samples were obtained from widely separated locations, little differences were found in allele frequencies. Significant deviations from Hardy-Weinberg equilibrium were detected in the total sample (overall estimation) and Area III E using three different statistical approaches, suggesting some degree of stock structure in these Areas. No significant correlation was detected between genetic and geographic distances. Although this microsatellite analysis has a preliminary character and the grouping of samples was not exactly the same as in the mtDNA analyses, there is a possibility that the pattern of nuclear DNA variation could be different from that derived from the maternal-inherited mtDNA analyses. This fact request further investigation.

INTRODUCTION

The minke whale (*Balaenoptera acutorostrata*) has a worldwide distribution and can be regarded as the most abundant baleen whale species. Based primary on distribution data, the Science Committee proposed that Southern Hemisphere minke whale population contained five distinct breeding grounds and should therefore be managed as five separate management units (IWC, 1991). However, until now no firm biological evidences have been presented to support any stock boundary in the Antarctic feeding ground. It is possible that sufficient interchange between adjacent populations exist to counteract the effects of genetic drift.

Several attempts have been made to investigate stock structure in the Antarctic minke whale using various molecular tools (Van Pijlen *et al.*, 1991 ; Hoelzel and Dover, 1991; Wada *et al.*, 1991; Pastene *et al.*, 1993, 1996). These approaches could clarify the genetic divergence between the minke whale of the Southern Hemisphere and those of the

Northern Hemisphere, nevertheless it was quite difficult to detect stock structures within the Antarctic probably due to low genetic variability in this region. Previous studies based on mtDNA RFLP (restriction fragment length polymorphism) analysis showed that more than one stock could occur in Areas IV and V, where the composition of animals from the two putative stocks changed both longitudinally and temporally during feeding season (Pastene *et al.*, 1996). However, information derived from of mtDNA analysis deal with differences in the female component of the population, as mtDNA is transmitted generation to generation across the mother. Information from nuclear genetic markers is required for a more detailed analysis of genetic structure. Indeed, pilot study on nuclear DNA analysis on JARPA minke samples was one of the ten main tasks to address the stock identity problems (IWC, 1997).

Microsatellites are one of the most important nuclear genetic markers and some of them can provide polymorphic information as diploid data in a certain population. This polymorphism can be detected by the PCR amplification (Saiki *et al.*, 1988) using primers complementary to unique flanking sequences. Differences in the sizes of alleles are due to variation in the number of repeats that can be detected by electrophoresis. By now, more than 30 microsatellite loci have been isolated both from mysticeti (Palsbøll *et al.*, 1997) and odontoceti (Buchanan *et al.*, 1996; Richard *et al.*, 1996) genome. In general, microsatellites are conserved in closely related species (Moore *et al.*, 1991) and then we could apply microsatellite loci isolated from humpback whale to population analysis of North Pacific minke whale (Abe *et al.*, 1997).

Here we present a preliminary genetic analysis on the Antarctic minke whale using five microsatellite loci, which were isolated either from humpback or sperm whales.

MATERIALS AND METHODS

Samples and DNA extraction

Minke whale samples were from the JARPA (Japanese Whale Research Program under Special Permit in the Antarctic) surveys in Area III E (1995/96, 1997/98), IV (1989/90) and V, VIW (1996/97) (Table 1). In the isolation by distance analysis, we also used samples taken in North Pacific by the 1996 JARPN (Japanese Whale Research Program under Special Permit in the North Pacific). Total cellular DNA was extracted from several sorts of tissues (muscle, liver, heart) by means of standard proteinase K, phenol-chloroform procedure (Sambrook *et al.*, 1989).

Genotyping of Microsatellite Loci

Nearly 30 primers sets, which were obtained from personal communication (Dr. P. Palsbøll, University of Wales-Bangor, Wales, UK) and previous studies (Valsecchi and Amos, 1996 ; Palsbøll *et al.*, 1997), were tested for PCR amplification on the Antarctic minke whales under several conditions. Five loci turned out to be highly polymorphic and appropriate for population analysis in the Antarctic minke whale. Four of five microsatellite loci contained dinucleotide repeats: GT023 and GT211 (Palsbøll, unpublished) , EV1Pm and EV104Mn (Valsecchi and Amos, 1996) and the other one comprised of tetranucleotide repeats GATA098 (Palsbøll *et al.*, 1997). It should be noted that some tetramer loci could not be employed in population analysis due to its unstableness (i.e. microvariant alleles; see Puers *et al.*, 1994). Thus, GATA028 and GATA417 loci could not be used in this study even though they had extremely variety of alleles (more than 30 alleles were detected in different length; data not shown). PCR amplification was carried out in 15 μ l reaction volumes using fluorescently labeled primers. Details of reaction mixture and conditions for PCR amplification were given in our previous paper (Abe *et al.*, 1997).

Statistical analysis

Probability of identity (PI) were calculated for each Area according to the previously described formula (Paetkau *et al.*, 1994). These values were multiplied across loci to give the overall PI. We employed three statistical tests (homozygosity test, likelihood ratio test, exact test) to test departure from Hardy-Weinberg (HW) equilibrium. HW equilibrium was estimated for each locus, and probabilities were combined for each study Area by means of simple equation (Sokal and Rohlf, 1995). The heterogeneity test was conducted using an unbiased estimate of the G-based test, which was performed for all pair of populations for all loci (GENEPOP version 3.1; Raymond and Rousset, 1995).

Analysis of isolation by distance (ISOLDE) was made for minke whales from the four Areas. North Pacific minke whales were used for comparison as outgroup. In order to estimate the genetic differences between the Antarctic minke whale and the North Pacific minke whale, three microsatellites (GT023, GATA098 and EV1Pm) were employed as common loci to both hemispheres. The associations between genetic and geographical distances were computed by simple Mantel tests supplied with GENEPOP program. The Mantel spatial correlogram is a useful tool for studying how correlation changes with distance for sample stations that are described by multivariate observations (Manly, 1997).

RESULTS

Genetic diversity and probability of identity

The allelic class frequency distributions of five loci are shown in Table 2. Although some minor private alleles were detected, we could find neither major differences of allele frequency between Areas nor diagnostic private allele. The estimated PI was almost identical among Areas, ranging 2.042×10^{-8} in Area V to 2.838×10^{-8} in Area VI (Table 1). Theoretically, these probabilities are low enough to identify all of individuals in the Antarctic depending on genotype profiles provided in this study.

Tests for Hardy-Weinberg equilibrium

Departures from HW equilibrium were examined by three statistical methods for each Area and microsatellite locus (Table 3). We could find significant deviations in Areas IIIE (for the five loci combined two approaches showed significant departure) and VIW (for the five loci combined one approach showed significant departure). No significant deviations were detected in Area IV and Area V populations at the 5% level. As a whole sample set the three approaches showed significant departure.

Heterogeneity test

The G-based exact test showed significant differences in two pairwise comparisons: Area III vs Area V and Area IV vs Area V (Table 4).

Isolation by distance

The relationship between genetic and geographical distances in the Antarctic minke whale is shown in Figs. 1a and 1b. These figures shows pairwise multilocus values of $F_{st} / (1 - F_{st})$, plotted against straight-line log-distance between the sighting localities of two samples. Antarctic minke whales are distinguishable from North Pacific minke whales (Fig1a). Moreover, the magnitude of genetic difference within the Antarctic populations was estimated to be a hundred times lower than that existing between both hemispheres. Within Antarctic regions, Mantel tests showed that no significant association exists between genetic distance over the geographical scale studied (10^4 permutations, $P > 0.05$).

DISCUSSION

Previously some nuclear DNA-based analyses were conducted on the Antarctic minke whale (Amos and Dover, 1991, Van Pijlen *et al.*, 1991). These studies, however, were restricted to Areas IV and V and were based on a small number of samples. No evidence for genetic heterogeneity was found by these authors. Our preliminary study is the first to use a nuclear DNA marker (microsatellite) to investigate a larger sample set from four IWC Antarctic Areas.

Heterozygosity values in the Antarctic minke whale were higher than those observed in the North Pacific minke whale in our previous study (Abe *et al.*, 1998). Allele frequencies of the five loci used were very similar among Areas. One of the main factor influencing the stock structure is the nature and level of gene flow. Mutation rates of most nuclear loci are so low that new mutations have not had sufficient time to appear and became fixed. In this study, we could not observe difference in allelic distributions between the Antarctic Areas, and the range of genetic distance estimated using isolation by distance was quite narrow ($F_{st} < 0.02$). Generally speaking, if two groups have split too recently to diverge by genetic drift and new mutations, their allele distributions will be very similar, and an F statistic with a value smaller than 0.05 indicates little genetic differentiation (Hartl and Clark, 1997). These are evidences that gene flow could have a strong influence in homogenizing genetic composition in the Antarctic minke whale across biological boundaries that surely existed for a certain period.

Although the gene flow event could have prevented the Antarctic minke whales from gathering diagnostic characters specific for each putative stock, statistical tests for heterogeneity could partially reveal hierarchical structure in the Antarctic. Assuming that all samples used in this study were derived from a panmictic unit, significant deviations from HW equilibrium were found in the whole sample (Table 3) even though no departure from HW proportions were detected in each Area. This is the typical case of Wahlund effect which is well characterized by heterozygote deficiency suggesting the existence of more than one stock.

A previous study based on mtDNA (Pastene *et al.*, 1996) suggested the occurrence of more than one stock in the western part of Area IV and a temporal component to their distribution. In this study, however, we could not detect any deviation from HW equilibrium within area IV. It should be noted, however, that both data set and the grouping are not exactly the same between the two studies. The sample detecting mtDNA heterogeneity in Area IVW early in Pastene *et al.* (1996) was comprised of minke whales from two surveys, 1989/90 and 1991/92 while that our data set for Area IV only included samples from the 1989/90 survey.

Although our microsatellite analysis has a preliminary character, and samples and grouping were not exactly the same as in the mtDNA survey, there is the possibility that the pattern of nuclear DNA variation in the Antarctic could be different from that found in the mtDNA analysis. If this become to be the case, we can cite similar cases in which results from nuclear DNA analysis are different from those derived from mtDNA analysis. For example, previous works on humpback whales showed that even though mtDNA markers demonstrated a significant division between Alaska/Hawaii and the California/Mexico stocks, analysis of the DNA fingerprint and actin sequence data failed to find any differences between them (Palumbi and Baker, 1994). A similar situation has been found in the analysis of Antarctic humpback whales using mtDNA control region sequences and microsatellite (data not shown). Two factors might be concerned with

these discrepancies. One possible explanation is that results of statistical tests may be influenced (changed) due to the small degree of differentiation among stocks product of high level of gene flow between them. Distinguishing between partially isolated stocks connected by ongoing gene flow remain one of the important challenges when doing these type of analyses. Second, the fixation of distinct alleles at nuclear loci almost certainly required more time than did the mtDNA divergence (Awise and Ball, 1990). Therefore, it is reasonable to conclude that we will not have a diagnostic nuclear marker which can provide alleles specific for a certain stock of Antarctic minke whale. For the case of the Antarctic minke whale more research is necessary before conclude that the pattern of mtDNA and nuclear DNA variation is different.

The heterogeneity test suggested certain degree of heterogeneity in Area V. This result should be taken with caution as no significant deviation from the HW equilibrium was observed in Areas IV and V. It is necessary to investigate further the apparent differentiation of minke whales from Area V.

By taking into consideration the results of the HW test in our analysis, we could say that the actual boundary between Areas IV and V at 130°E is artificial and that a 'core' stock could occur in the main body of these Areas. However, to confirm this, further research is necessary to investigate the apparent differentiation of Area V as mentioned earlier.

Finally, our study would arise a problem concerning the number of independent loci that are required for the examination of genetically close related stocks. Previous study that examined this question in relation to the segregation of ancient polymorphisms suggested that in general more than five loci are needed to resolve the species phylogeny accurately (Wu, 1991). It is obvious that this number will be considerably higher if the internal nodes are relatively short as in the case of Antarctic minke whales. In this study, three microsatellite loci were sufficient to detect difference between the Antarctic and the North Pacific minke whales (Fig 1a), but five loci were insufficient for revealing unambiguously genetic structure within the Antarctic minke whale. In examining Fig 1a, it should be considered that genetic differences between the Antarctic ordinary form minke whale and North Pacific minke whale are at the level of species differentiation (Wada and Numachi, 1991; Pastene *et al.*, 1994). Some scientists reiterated that an adequate number of loci should be employed in order to have sufficient statistical power to identify potential problems. Thus, it is necessary to isolate novel microsatellite loci which can provide highly polymorphic information of intra-populations.

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Table 1. Summary of minke whale samples, proportion of male, heterozygosity and total expected probability of identity (PI).

Area	Year	Period	Total (n)	Male	Mean obz. Hz.	Mean exp. Hz.	PI ($\times 10^{-6}$)
III	95/96 JARPA	26.Nov. - 22.Dec.	69	0.652	0.8783	0.8602	2.633 (Area III total)
III	97/98 JARPA	7.Dec. - 31.Dec.	94	0.649	0.8206	0.8686	-
IV	89/90 JARPA	6.Dec. - 14.Feb.	325*	0.564	0.8711	0.8674	2.377
V	96/97 JARPA	5.Jan. - 12.Mar	330	0.4	0.8706	0.8690	2.042
VI	96/97 JARPA	30.Nov. - 3.Jan.	96	0.680	0.8631	0.8684	2.838

∞

Abbrev . : obz.=observed, exp.=expected, Hz.=Heterozygosity

* except dwarf minke whales

Table 2. Microsatellite loci and allele frequencies in the Antarctic minke whales.

GT211						EV1 Pm						GT023					
Area III	Area IV	Area V	Area VI	Area III	Area IV	Area V	Area VI	Area III	Area IV	Area V	Area VI	Area III	Area IV	Area V	Area VI		
85	0.037	0.062	0.044	0.057	117	-	-	0.002	-	-	-	88	0.003	0.011	0.005		
87	0.046	0.034	0.033	0.031	121	-	-	0.002	-	-	-	90	0.003	0.006	0.002		
88	0.009	-	-	-	123	0.016	0.018	0.018	0.021	-	-	94	0.015	0.012	0.011		
89	-	0.005	-	0.005	125	0.037	0.040	0.026	0.031	-	-	96	0.009	0.006	0.008		
90	-	-	0.002	-	127	0.214	0.206	0.220	0.208	-	-	98	0.019	0.028	0.030		
91	-	0.005	-	-	129	0.261	0.260	0.238	0.281	-	-	100	0.043	0.028	0.035		
92	0.003	-	0.006	-	131	0.230	0.243	0.223	0.208	-	-	102	0.052	0.039	0.052		
94	-	-	0.002	0.005	133	0.109	0.112	0.133	0.120	-	-	104	0.052	0.051	0.050		
98	0.006	-	-	-	135	0.047	0.051	0.061	0.063	-	-	106	0.049	0.076	0.047		
100	0.021	0.011	0.015	0.010	137	0.037	0.023	0.024	0.036	-	-	108	0.160	0.146	0.133		
102	0.046	0.045	0.049	0.057	139	0.019	0.018	0.011	0.010	-	-	110	0.111	0.110	0.120		
104	0.067	0.069	0.094	0.052	141	0.016	0.017	0.011	0.005	-	-	112	0.145	0.142	0.130		
106	0.227	0.183	0.160	0.182	143	0.012	0.011	0.029	0.010	-	-	114	0.194	0.160	0.224		
108	0.150	0.155	0.154	0.130	145	0.003	-	-	-	-	-	116	0.074	0.094	0.047		
110	0.117	0.129	0.112	0.109	147	-	-	0.002	-	-	-	118	0.043	0.037	0.040		
112	0.101	0.108	0.129	0.109	149	-	-	-	0.005	-	-	120	0.019	0.006	0.026		
114	0.083	0.092	0.082	0.089	155	-	-	0.002	-	-	-	122	0.003	0.012	0.010		
116	0.037	0.043	0.035	0.073	159	-	-	0.002	-	-	-	124	0.003	0.006	0.005		
118	0.034	0.031	0.043	0.042								126	-	-	-		
120	0.006	0.015	0.014	0.026								128	-	0.002	-		
122	0.003	0.008	0.008	0.016													
124	0.006	0.003	0.002	0.005													
126	-	0.002	-	-													
132	-	0.002	-	-													

EV104 Mh							
Area III	Area IV	Area V	Area VI	Area III	Area IV	Area V	Area VI
133	0.006	0.002	-	133	0.006	0.002	-
135	0.016	0.011	0.008	135	0.016	0.011	0.017
137	0.016	0.019	0.022	137	0.016	0.019	0.006
139	0.047	0.043	0.052	139	0.047	0.043	0.078
141	0.053	0.061	0.028	141	0.053	0.061	0.083
143	0.022	0.043	0.058	143	0.022	0.043	0.022
145	0.053	0.050	0.060	145	0.053	0.050	0.033
147	0.053	0.048	0.048	147	0.053	0.048	0.072
149	0.071	0.099	0.087	149	0.071	0.099	0.083
151	0.106	0.140	0.122	151	0.106	0.140	0.150
153	0.174	0.154	0.123	153	0.174	0.154	0.122
155	0.143	0.127	0.168	155	0.143	0.127	0.189
157	0.078	0.102	0.092	157	0.078	0.102	0.067
159	0.050	0.040	0.065	159	0.050	0.040	0.056
161	0.068	0.043	0.037	161	0.068	0.043	0.011
163	0.037	0.017	0.010	163	0.037	0.017	0.006
165	0.009	-	0.020	165	0.009	-	0.006

GATA098							
Area III	Area IV	Area V	Area VI	Area III	Area IV	Area V	Area VI
92	0.012	-	0.005	92	0.012	-	0.005
96	0.037	0.026	0.020	96	0.037	0.026	0.005
100	0.071	0.057	0.034	100	0.071	0.057	0.042
104	0.185	0.183	0.132	104	0.185	0.183	0.182
108	0.262	0.263	0.283	108	0.262	0.263	0.193
112	0.074	0.115	0.135	112	0.074	0.115	0.125
116	0.191	0.151	0.194	116	0.191	0.151	0.219
120	0.127	0.142	0.150	120	0.127	0.142	0.172
124	0.028	0.038	0.040	124	0.028	0.038	0.031
128	0.012	0.025	0.014	128	0.012	0.025	0.026

Table 3. Result of three tests for Hardy-Weinberg equilibrium. Probabilities below 5%, 10% are shown as bold and underline respectively, and overall estimation is listed in the right bottom (shaded).

Area III	Likeli. test	Exact test	Homozyg. test
EV1 <i>Pm</i>	0.4693	0.4683	0.5625
EV104 <i>Mn</i>	0.1647	0.1489	0.5726
GATA098	0.1982	<u>0.0640</u>	0.0106
GT211	0.0045	0.0033	0.2259
GT023	0.5982	0.6356	0.9303
prob.	0.0275	0.0102	0.1522

Area IV	Likeli. test	Exact test	Homozyg. test
EV1 <i>Pm</i>	0.8527	0.9498	0.1016
EV104 <i>Mn</i>	0.2991	0.3741	0.9998
GATA098	0.3298	0.2209	0.2474
GT211	0.7786	0.8903	0.1651
GT023	0.7780	0.7938	0.5866
prob.	0.8157	0.8331	0.2826

Area V	Likeli. test	Exact test	Homozyg. test
EV1 <i>Pm</i>	0.4852	0.6015	0.1369
EV104 <i>Mn</i>	0.1683	0.1453	<u>0.0706</u>
GATA098	0.2025	0.2024	0.4064
GT211	0.8480	0.8801	<u>0.0828</u>
GT023	0.4417	0.5507	0.8787
prob.	0.4258	0.4837	0.0908

Note : Overall estimations were done with all the Antarctic samples used in this study (Overall).

Abbrev. : Likeli.=Likelihood ratio, Homoz.=Homozygosity

Area VI	Likeli. test	Exact test	Homozyg. test
EV1 <i>Pm</i>	0.0417	0.1044	0.4138
EV104 <i>Mn</i>	0.3900	0.2933	0.6517
GATA098	0.1208	0.1494	0.2648
GT211	0.3140	0.5525	0.3569
GT023	0.1533	0.1248	0.8384
prob.	0.0466	0.0962	0.6589

Overall	Likeli. test	Exact test	Homozyg. test
EV1 <i>Pm</i>	0.6791	0.8357	0.0199
EV104 <i>Mn</i>	0.1440	0.1519	<u>0.0841</u>
GATA098	0.0055	0.0014	0.0043
GT211	0.1422	0.2258	0.1255
GT023	0.0122	0.0332	0.6395
prob.	0.0020	0.0026	0.0014

Table 4. Genetic differentiation between the four minke whale study areas.

Between areas		Microsatellite Loci								Probability	
		GT23	GATA98	EVI Pm	EV104 Mn	GT211	-2*Sum(lnP)	5% (18.307)	1% (23.209)		
Area III vs	Area IV	0.6387	0.0466	0.9786	0.1149	0.1491	15.2078	NS	NS		
	Area V	0.9573	0.0010	0.6645	0.0061	0.1180	29.2504	0.00113	0.00113		
	Area VI	0.9643	0.0728	0.9700	0.0352	0.3461	14.1905	NS	NS		
Area IV vs	Area V	0.3772	0.0419	0.2230	0.0007	0.1312	29.9747	0.00086	0.00086		
	Area VI	0.5313	0.1082	0.7231	0.0339	0.9079	13.3244	NS	NS		
Area V vs	Area VI	0.6180	0.0969	0.8793	0.0210	0.6750	14.4011	NS	NS		

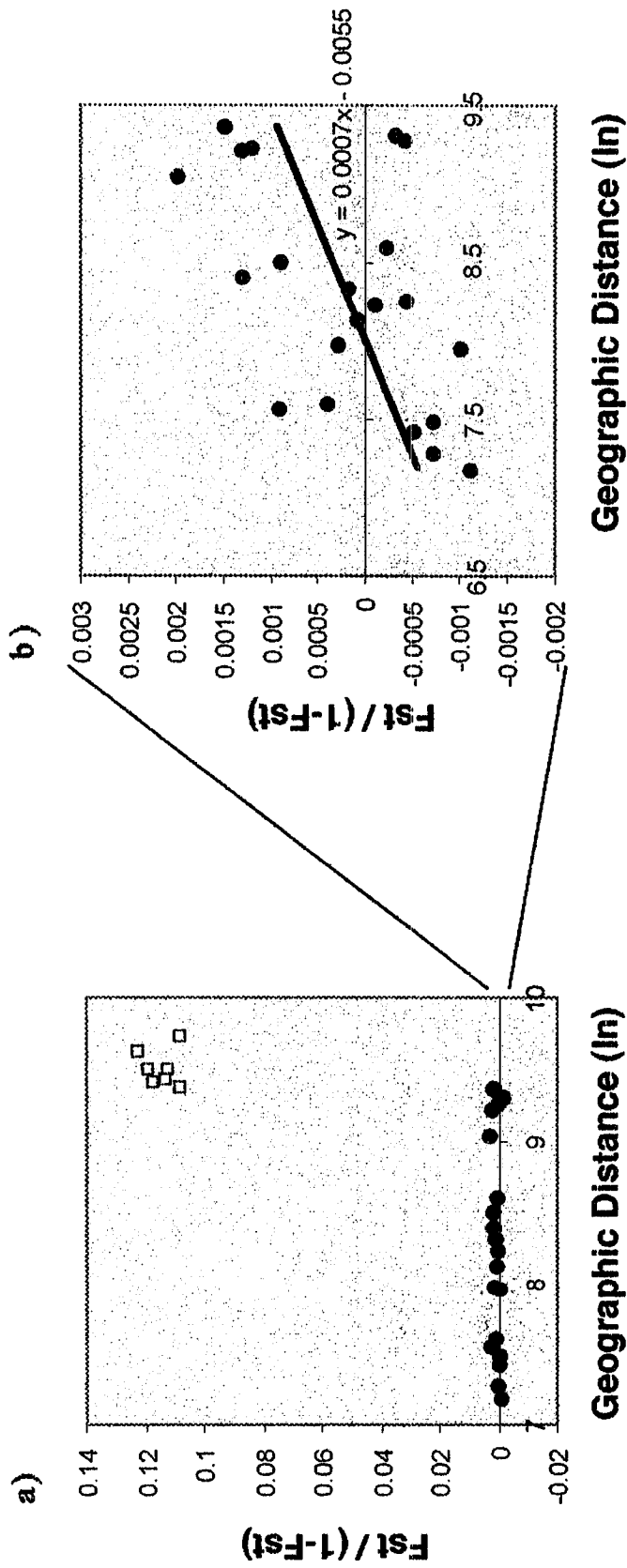


Fig. 1 Result of isolation by distance (ISOLDE) analysis. Black circles (●) indicate the comparisons within Antarctic populations, and open squares (□) denote the comparisons between Antarctic population and North Pacific population.